


11/26/01  
11054 U.S. PTO

11-28-01

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PTO/SB/05 (8-96)  
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<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(to be used for new applications only)</i>	Attorney Docket Number	AT-1
	First Named Inventor	Bruce Monk
	Total Pages in this Submission	46

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS						
<p><i>Notice: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.</i></p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (prescribed filing fee(s)) 1</p> <p>2. Specification</p> <p><input checked="" type="checkbox"/> Title of the Invention <b>Total 32</b></p> <p><input type="checkbox"/> Cross References to Related Applications (if applicable)</p> <p><input type="checkbox"/> Statement Regarding Federally-sponsored Research/Development (if applicable)</p> <p><input type="checkbox"/> Reference to Microfiche Appendix (if applicable)</p> <p><input checked="" type="checkbox"/> Background of the Invention</p> <p><input checked="" type="checkbox"/> Brief Summary of the Invention</p> <p><input checked="" type="checkbox"/> Brief Description of the Drawings (if drawings filed)</p> <p><input checked="" type="checkbox"/> Detailed Description</p> <p><input checked="" type="checkbox"/> Claim or Claims</p> <p><input checked="" type="checkbox"/> Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (when necessary as prescribed by 35 USC 113) 5</p> <p>4. <input checked="" type="checkbox"/> Executed Declaration 1</p> <p>5. Genetic Sequence Submission (if applicable, all must be included)</p> <p><input type="checkbox"/> Paper Copy</p> <p><input type="checkbox"/> Computer Readable Copy</p> <p><input type="checkbox"/> Statement Verifying Identical Paper and Computer Readable Copy</p>	<p>6. <input checked="" type="checkbox"/> Assignment Papers 3</p> <p>7. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>8. <input type="checkbox"/> Computer Program in Microfiche</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Petition Checklist and Accompanying Petition</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input type="checkbox"/> Proprietary Information</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard 1</p> <p>15. <input checked="" type="checkbox"/> Small Entity Statement 1</p> <p>16. <input checked="" type="checkbox"/> Additional Enclosures (please identify below):</p> <p>Express Mail PTO/SB/93 1 Cert. Check - \$812 1</p>						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
<table border="1"> <tr> <td>Firm or Individual name</td> <td>25,974 Joseph E. Funk</td> </tr> <tr> <td>Signature</td> <td>Joseph E. Funk</td> </tr> <tr> <td>Date</td> <td>Nov. 20, 2001</td> </tr> </table>		Firm or Individual name	25,974 Joseph E. Funk	Signature	Joseph E. Funk	Date	Nov. 20, 2001
Firm or Individual name	25,974 Joseph E. Funk						
Signature	Joseph E. Funk						
Date	Nov. 20, 2001						

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09/994399  
11/26/01

**FOR OFFICIAL USE ONLY**

Application Number		Class		Independent Claims	
Date of Receipt	Application Type	GAU		Total Claims	
	Filing Date	Foreign Filing License?		Drawing Sheets	
	Small Entity	Foreign Address?		Special Handling?	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## FEE TRANSMITTAL

Total Amount of Payment \$ 812

## METHOD OF PAYMENT (Check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
 Deposit Acct. No.  
 Deposit Acct. Name.  
☐ Charge any additional fee ☐ Charge the Issue Fee required under 37CFR 1.16 and 1.17 set in 37CFR1.311(b)

2. ☒ Payment Enclosed  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/1/96)

## FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Description	Fee Paid
101 770	201 370	Utility file fee	\$ 370
106 320	206 160	Design file fee	\$
107 530	207 265	Plant file fee	\$
108 770	208 385	Reissue file fee	\$
114 150	214 75	Prov. file fee	\$
SUBTOTAL (1)			\$ 370

## CLAIMS

Total claims	Extra below	Fee Paid
32 - 20 = [12]	X [9] =	\$ 108
Indep. claim [10] - 3 = [7]	X [42] =	\$ 294
Multiple Dep. Claims	[ ] X [ ] =	\$

Large Entity Fee Code	Small Entity Fee Code	Description
103 22	203 11	Claims in excess of 20
102 80	202 40	Ind. claims - excess of 3
104 260	204 130	Multiple dep. claims
109 80	209 40	Reissue ind. claims over original patent
110 22	210 11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 402

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2460	147 2460	Filing a request for re-exam	\$
112 900	112 900	Requesting publication of SIR prior to Examiner action	\$
113 1790	113 1790	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Ext. for response in 1st month	\$
116 390	216 195	Ext. for response in 2nd month	\$
117 930	217 465	Ext. for response in 3rd month	\$
118 1470	218 735	Ext. for response in 4th month	\$
119 300	219 150	Notice of Appeal	\$
120 300	220 150	Filing brief supporting appeal	\$
121 260	221 130	Request for oral hearing	\$
138 1470	138 1470	Petition to institute public use proceeding	\$
140 110	240 55	Petition to Revive - Unavoidably abandoned application	\$
141 1290	241 645	Petition To Revive Unintentionally abandoned application	\$
142 1290	242 645	Utility Issue Fee (or Reissue)	\$
143 440	243 220	Design Issue Fee	\$
144 650	244 325	Plant Issue Fee	\$
122 130	122 130	Petitions to Commissioner	\$
123 50	123 50	Petitions for provisional appns.	\$
126 230	126 230	Submission of Inf. Disc. Sheet	\$
581 40	581 40	Recording each patent assignment per property (X number of prop.)	\$ 40
146 770	246 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149 770	249 385	For each added invention to be examined (37CFR1.129(b))	\$
Other Fee (specify):			\$
Other Fee (specify):			\$
Other Fee (specify):			\$
SUBTOTAL (3)			\$ 40

Complete (If Applicable)

Reg. Number: **25,974**

Deposit Acct.:

User ID

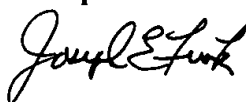
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Joseph E. Funk

Printed Name:

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Date: 11/20/01

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Joseph E. Funk      Reg. No. 25,974  
Typed or printed name of person mailing correspondence

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New US Patent application for:

Title: Validation and Verification Apparatus and Method  
Inventors: **Bruce C. Monk & Theodore T. Kuklinski**

Application (32 sheets – Specification, claims & abstract)  
Drawings, Informal (5 sheets)  
Declaration and Power of Attorney  
Assignment  
Recordation Form Cover Sheet (PTO-1619A)  
Verified Statement Claiming Small Entity Status (PTO/SB/10)  
Transmittal Form (PTO/SB/5)  
Check for \$ 812  
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